



UNITED STATES
PATENT AND
TRADEMARK OFFICE

BAXTER - DEERFIELD

JUN 14 2002

EIS-5799

MEDICATION DELIVERY

JUNE 07, 2002

PTAS

PATENTS

Chief Information Officer
Washington, DC 20231
www.uspto.gov

BAXTER INTERNATIONAL INC.
FRANCIS C. KOAWLIK, ESQ.
CORPORATE COUNSEL, LAW DEPARTMENT
ONE BAXTER PARKWAY, DF2-2E
DEERFIELD, IL 60015



102055435A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/25/2002

REEL/FRAME: 012773/0985
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MARTUCCI, JAMES ✓

DOC DATE: 01/16/2002

ASSIGNOR:

BUI, TUAN ✓

DOC DATE: 01/18/2002

ASSIGNOR:

HITCHCOCK, JAMES ✓

DOC DATE: 03/21/2002

ASSIGNOR:

DIGIANFILIPPO, ALEANDRO

DOC DATE: 01/31/2002

ASSIGNOR:

PIERCE, RICHARD ^{sp. DigianFilippo}

DOC DATE: 01/31/2002

ASSIGNEE:

BAXTER INTERNATIONAL INC.
ONE BAXTER PARKWAY
DEERFIELD, ILLINOIS 60015

RECEIVED TIME DEC. 18. 2:12PM

012773/0985 PAGE 2

SERIAL NUMBER: 10043891
PATENT NUMBER:

FILING DATE: 01/11/2002
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

RECEIVED TIMEDEC. 18. 2:12PM

25102 OFFICE OF PUBLIC RECORDS
2002 MAR 25 AM 9:39

JUN 14 2002

04-15-2002

MEDICATION DELIVERY
PATENTS

Form PTO 1595
(Rev. 03/01)

FINANCE SECRET



T

U.S. Department of Commerce
Patent and Trademark Office

1143755

102055435

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

James Martucci
Tuan Bui
James Hitchcock
Aleandro DiGianfilippo
Richard Pierce

2. Name and address of receiving party(ies):

Name: Baxter International Inc.
Street Address: One Baxter Parkway
City: Deerfield State: IL Zip: 60015

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: January 16, 2002 - James Martucci
January 18, 2002 - Tuan Bui
March 21, 2002 - James Hitchcock;
January 31, 2002 - Aleandro DiGianfilippo
January 31, 2002 - Richard Pierce

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

10/043,891, Filed January 11, 2002

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Francis C. Kowalk, Esq.
Corporate Counsel, Law Department
BAXTER INTERNATIONAL INC.

Street Address: One Baxter Parkway, DF2-2E

City: Deerfield State: IL Zip: 60015

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul J. Nykaza, Registration No. 38,984
Name and Registration No. of Person Signing

Signature

March 25, 2002
Date

Docket No.: EIS-5799 (1417G P 570)

Total number of pages comprising cover sheet: 1

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

2002 TBIQZ1 00000109 10043891

581

40.00 OP

RECEIVED TIMEDEC. 18. 2:12PM

Application No: 10/043,891

Filed: January 11, 2002

Attorney Docket No.: EIS-5799 (1417G P 570)

In consideration of ONE DOLLAR and other good and valuable considerations, the receipt and sufficiency whereof are hereby acknowledged, we hereby assign to BAXTER INTERNATIONAL INC. (hereinafter referred to as "assignee"), a corporation of Delaware, having a principal place of business at DEERFIELD, ILLINOIS, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in our invention or improvements in

MEDICATION DELIVERY SYSTEM

and in the application for Letters Patent of the United States therefor, executed by each of us individually on the date(s) indicated below and any and all other United States applications and applications in any and all countries which we may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States or of any other country which may be obtained on any of the said applications, and in any reissue or extension thereof.

We hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said BAXTER INTERNATIONAL INC.

We hereby authorize and request the attorneys of record in said application to insert in this assignment the date and serial number of said application when officially known.

We warrant ourselves to be the owners of the interest herein assigned and to have the right to make this assignment; and further warrant that there are no outstanding prior assignments, licenses, or other rights in the interest herein assigned.

For said considerations we hereby agree, upon the request and at the expense of said assignee, its successors, legal representatives and assigns, to execute any and all divisional, continuation, and renewal applications for said invention or improvements, and any necessary oath or supplemental oath or affidavit relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives and assigns may deem necessary or expedient, and for the said considerations we further agree, upon the request of said assignee, its successors, legal representatives and assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof becoming involved in interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives and assigns in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof. We further agree to perform, upon such request, any and all affirmative acts to obtain Letters Patent, and vest all rights therein hereby conveyed in said assignee, its successors, legal representatives and assigns whereby said Letters Patent will be held and enjoyed by the said assignee, its successors, legal representatives and assigns to the end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and for the said considerations we hereby also assign to said assignee, its successors, legal representatives and assigns the entire right, title and interest in said invention or improvements for any and all foreign countries and the right of priority for patent and utility model applications in all countries arising under any applicable international convention for the protection of industrial property and/or any internal priority legislation of such countries, and we further agree upon the request of said assignee, its successors, legal representatives and assigns to execute any and all documents that shall be required to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said assignee.

WITNESS our hand and seal

Date January 18, 2002Signature: James Martucci

Name: James Martucci Inventor

Subscribed and sworn before me,

this 18th day of January, 2002Lauren Greenwald
Notary PublicDate January 18, 2002Signature: Tuan Bui

Name: Tuan Bui Inventor

Subscribed and sworn before me,

this 18 day of JANUARY, 2002Lauren Greenwald
Notary Public

Date

Signature: James Hitchcock

Name: James Hitchcock Inventor

Subscribed and sworn before me,

this 21 day of MARCH, 2002Judith A. Kosick
Notary PublicDate 1/31/02Signature: Aleandro DiGianfilippo

Name: Aleandro DiGianfilippo Inventor

Subscribed and sworn before me,

this 31 day of January, 2002Marilyn Coleman
Notary PublicOFFICIAL SEAL
JUDITH A. KOSICKNOTARY PUBLIC, STATE OF ILLINOIS
MY COMMISSION EXPIRES: 08/10/05Notary Public State of Arizona
Maricopa County
Marilyn Coleman
Expires October 6, 2005Assignment
(Joint Inventors)

RECEIVED TIME JAN. 6. 11:20AM

Application No: 10/043,89

Filed: January 11, 2002

Attorney Docket No.: 1417G P 570

Date 1-31-2002Signature: Richard Pierce

Name: Richard Pierce Inventor

Subscribed and sworn before me,
this 31ST day of JANUARY, 2002Marilyn Coleman
Notary Public

Notary Public State of Arizona

Maricopa County

Marilyn Coleman

Expires October 8, 2005

RECEIVED TIMEJAN. 6. 11:20AM

** TOTAL PAGE.03 **

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION AND POWER OF ATTORNEY

As below-named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled "**MEDICATION DELIVERY SYSTEM**", filed on January 11, 2002, as Application No. 10/043,891.

We hereby state that we have reviewed and understand the contents of the above-identified Specification, including the Claims, as amended by any Amendment referred to above.

We acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the Application on which priority is claimed:

Prior Foreign Application(s):

			<u>Priority Claimed</u>	
<u>(None)</u> Number	Country	Day/Month/Year Filed	Yes	No

We hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>(None)</u> Application Number	Filing Date
-------------------------------------	-------------

We hereby claim the benefit under 35 U.S.C. § 120 of any United States Application(s), or § 365(c) of any PCT International Application designating the United States, listed below and,

insofar as the subject matter of each of the Claims of this Application is not disclosed in the prior United States or PCT International Application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior Application and the national or PCT international filing date of this Application:

(None)

Application Number Filing Date Status

We hereby appoint the following attorney(s) and/or agent(s) to prosecute this Application and transact all business in the Patent and Trademark Office connected therewith.

Francis C. M. Kowalik	34,646	Daniel N. Christus	29,626	Jeffrey R. Gargano	38,148
Mark J. Buonaiuto	31,593	Linda A. Kuczma	30,861	Paul J. Nykaza	38,984
Joseph B. Barrett	34,769	Roger H. Stein	31,882	Edward L. Bishop	39,110
Janice Guthrie	35,170	Thomas K. Stine	32,310	James P. Muraff	39,785
Paula J. Kelly	37,624	Micheal D. Lake	33,727	Joseph M. Kinsella, Jr.	45,743
Jeffrey C. Nichols	36,879	Matthew J. Gryzlo	43,648	Lawrence E. Thompson	41,346
Bradford R. L. Price	29,101	Robert W. Diehl	35,118	Peter M. Klobuchar	43,772
		Bradley F. Rademaker	35,331	Brent A. Hawkins	44,146
		Richard C. Himelhoch	35,544	William J. Lenz	44,208
		Monique A. Morneault	37,893	Judie D. Dziezak	40,599
		Stephen R. Auten	47,396	Austin J. Foley	41,543
		Peter M. Siavelis	P51,136		

Send correspondence and direct telephone calls to:

Francis C. Kowalik, Esq.
Corporate Counsel, Law Department
BAXTER INTERNATIONAL INC.
One Baxter Parkway, DF2-2E
Deerfield, IL 60015
847.948.3041
Facsimile: 847.948.3393

We hereby declare all statements made herein of our own knowledge are true and all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the Application or any patent issued thereon.

Full Name of First Joint Inventor: **James Martucci**

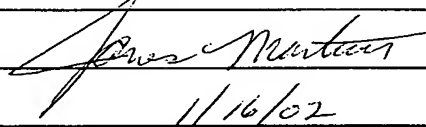
Residential Street Address: **816 Fair Way**

City and State/Province: **Libertyville, Illinois**

Country and Zip/Postal Code: **U.S.A. 60048**

Citizenship: **United States of America**

Mailing Address: **Same as above.**

Inventor's Signature: 

Date: **1/16/02**

Full Name of Second Joint Inventor: **Tuan Bui**

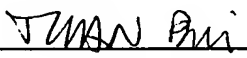
Residential Street Address: **14436 Greenfield Court**

City and State/Province: **Green Oaks, Illinois**

Country and Zip/Postal Code: **U.S.A. 60048**

Citizenship: **United States of America**

Mailing Address: **Same as above.**

Inventor's Signature: 

Date: **1/18/2002**

Full Name of Third Joint Inventor: **James Hitchcock**

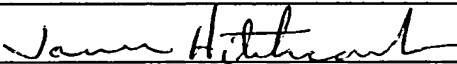
Residential Street Address: **435 NORTH AVE.**

City and State/Province: **BARRINGTON, ILLINOIS**

Country and Zip/Postal Code: **U.S.A. 60010**

Citizenship: **United States of America**

Mailing Address: **Same as above.**

Inventor's Signature: 

Date: **3.21.02**

Full Name of Fourth Joint Inventor: **Aleandro DiGianfilippo**

Residential Street Address: **29192 North 78th Street**

City and State/Province: **Scottsdale, Arizona**

Country and Zip/Postal Code: **U.S.A. 85262**

Citizenship: **United States of America**

Mailing Address: **Same as above.**

Inventor's Signature: 

Date: **1/31/02**

Full Name of Fifth Joint Inventor: **Richard Pierce**

Residential Street Address: **19730 North 52nd Avenue**

City and State/Province: **Glendale, Arizona**

Country and Zip/Postal Code: **U.S.A. 85308**

Citizenship: **United States of America**

Mailing Address: **Same as above.**

Inventor's Signature: 

Date: **1/31/02**